

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. IBM/177 ROC920000273US1)	SERIAL NO. 09/845,596
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)  (37 CFR 1.98(b))		APPLICANT Robert Miller et al.		Confirmation No. 3237	
		FILING DATE April 30, 2001		GROUP 2171	



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER			ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A.A								
A.B								
A.C								
A.D					RECEIVED			
A.E					JUL 12 2001			
A.F					Technology Center 2100			
A.G								
A.H								
A.I								
A.J								
A.K								

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
A.L						
A.M						
A.N						
A.O						
A.P						
A.Q						

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

S.N	A.R	U.S. Patent Application Serial No. 09/173,090, entitled "Cluster Destination Address Table - IP Routing for Clusters," filed on 10/15/1998 by Block et al. (R0998088).
S.N	A.S	U.S. Patent Application Serial No. 09/280,469, entitled "Reliable Multicast for Cluster Communications," filed on 3/30/1999 by Block et al. (R0998195).
	A.T	

EXAMINER	DATE CONSIDERED
<i>S. Miller</i>	6-17-04

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.


**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 2

Application Number.: 09/845,596  
 Filing Date: April 30, 2001  
 First Named Inventor: Robert Miller et al.  
 Art Unit: 2171  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920000273US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear Class / Sub Class
S.N		US - 5,079,767	01/07/1992	Perlman	370/256
S.N		US - 5,361,256	11/01/1994	Doeringer et al.	370/390
S.N		US - 5,778,187	07/07/1998	Monteiro et al.	709/231
S.N		US - 5,805,572	09/08/1998	Bernabeu-Auban et al.	370/255 JUN 05 2002
S.N		US - 5,835,723	11/10/1998	Andrews et al.	Technology Center 2100 709/226
S.N		US - 5,850,396	12/15/1998	Gilbert	370/390
S.N		US - 5,974,417	10/26/1999	Bracho et al.	707/10
S.N		US - 5,978,779	11/02/1999	Stein et al.	705/37
S.N		US - 5,978,802	11/02/1999	Hurvig	707/8
S.N		US - 6,047,323	04/04/2000	Krause	709/227
S.N		US - 6,192,417 B1	02/20/2001	Block et al.	709/249

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature

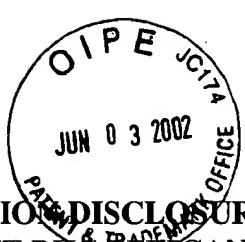
Date Considered

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.



PTO/SB/08A

## ~~INFORMATION DISCLOSURE STATEMENT BY APPLICANT~~

Sheet 2 of 2

Application Number.: 09/845,596  
Filing Date: April 30, 2001  
First Named Inventor: Robert Miller et al.  
Art Unit: 2171  
Examiner Name: Unassigned  
Attorney Docket Number.: ROC920000273US1

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

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## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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